Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-DEC-20**

of M/s. Arif Habib Ltd.

Submission Date 13-JAN-2021 19:32:21

Image: Process of the second		Submission Date 13-5AM-2021 17.52.21		Page	1 Of 3
1 Assists 1.000_270.122 1.000_270.122 11 Progenity & Equipment 1.000_270.122 1.000_270.122 13 Investment in God, Socurities 6.756.011 6.756.011 14 Investment in God, Socurities 7.756.021 6.756.011 15 Investment in God, Socurities 7.756.021 6.756.011 16 Investment in Lobb, Socurities 1.000_270.122 8.022 9.802 1 Discrities balance short which in the scale of tenues of most han 3 vans. 1.010_270.12 8.022 9.802 1 Discrities balance short which, in the scale of tenues of most han 3 vans. 1.010_270.12 8.022 9.802 1 Discrities balance short which, in the scale of tenues formal 3 vans. 1.010_270.12 8.022 9.802 1 Discrities balance short which, in the scale of tenues formal 3 vans. 1.010_270.12 8.022 9.802.802 1 Discrities balance short which, in the scale of investment in the scale of tenues tenues of tenues t	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
11 Progently & Equipment 1.900.279.120 1.900.279.120 13 Impaint Accil 0.706.811 0.706.811 14 Impaint Accil 0.706.811 0.706.811 15 Interventin I Detti Socuritios 1.900.279.120 1.900.279.120 16 Interventin I Detti Socuritios 1.900.279.125 10.255 16 Interventin I Detti Socuritios 1.900.279.120 1.900.279.120 17 Interventino I Detti Socuritios 1.900.279.120 1.900.279.120 18 Interventino I Detti Socuritios 1.900.279.120 1.900.279.120 18 Interventino I Detti Socuritios 1.900.279.120 1.900.279.120 19 Interventino I Detti Socuritios 1.900.279.120 1.900.279.120 10 INTERIOR I Dettions of Neuron I Dettion I Det			Pak Rupee	Adjustments	Value
1. Unperformed 0.706.811 6.706.811 1.3. Investment in Cork Securities 0.706.811 6.706.811 1.4. Investment in Cork Securities 0.706.811 6.706.811 1.5. Securities 0.706.711 6.706.811 6.706.811 1.6. Securities 0.706.718 102.208 1.044.06 1.706.716 0.808.90 0.808.91 0.706.72 6.006 1.706.716 0.808.91 0.706.72 6.006 6.908.91 1.706.716 0.808.91 0.706.72 6.006 6.908.91 1.706.716 0.808.91 0.706.72 6.006 6.908.96.769 1.706.716 0.706.716 0.706.71 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.11 9.906.16 9.906.16 9.906.16 9.906.16 9.906.16 9.906	1	Assets			
12 Interrights Acets 0.706.811 0.706.811 13 Interrights Acets 0 0 14 Investment flocs/Sequello. 0 0 15 Interrights Acets 0 0 14 Investment flocs/Sequello. 0 0 15 Interrights Acets 0 0 16 Interrights Acets 0 0 17 Interrights Acets 0 0 0 17 Interrights Acets 0 0 0 0 17 Interrights Acets 0 0 0 0 0 17 Interrights Acets 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	1.1	Property & Equipment	1,900,279,123	1,900,279,123	
14 Investment in Debt. Securities	1.2	Intangible Assets	6,766,811	6,766,811	
If larged them 0 1.948 of the balance should value, in the case of foruse outo 1 year 2.061 166 1.92.668 I, 25% of the balance should value, in the case of foruse of more than 3 year. 1.97.912 8.028 III 105 of the balance should value, in the case of foruse of more than 3 year. 0 0 III 105 of the balance should wale, in the case of foruse of more than 3 year. 0 0 III 105 of the balance should wale, in the case of foruse of more than 3 year. 0 0 III 105 of the balance should wale, in the case of foruse of more than 3 year. 0 0 III 105 of the balance should wale. In the case of tenue of more than 3 year. 0 0 III 105 of the balance should wale. In the case of tenue of more than 3 year. 0 0 III 105 of the balance should wale. The case of tenue of more than 3 year. 0 0 III 105 of the balance should wale a new of included in the theore socialities to the socialitie					
5% of the balance sheet value, in the case of tenue ten 1: system. 205:165 102:568 11.945.056 1.0% of the balance sheet value, in the case of tenue ten 1: system. 107:17 8.076 98:386 1.1% of the balance sheet value, in the case of tenue ten 1: system. 107:17 8.076 98:386 1.1% of the balance sheet value, in the case of tenue ten 1: system. 107:17 107:17 107:17 1.1% of the balance sheet value, in the case of tenue ten 1: system. 107:17 107:17 107:17 1.1% of the balance sheet value, in the case of tenue ten 1: system. 107:17 107:17 107:17 1.1% of the balance sheet value, in the case of tenue ten net value. 107:17 107:17 107:17 1.1% of the balance sheet value. 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17 107:17	1.4				
II. 256 of the balance short value, in the case of terms of more 1 syses. 107,012 8,026 98,086 II. 105 of the balance short value, in the case of terms of more three states. 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 <			0.054.450	400.550	4 0 40 500
III. 10% of the balance shoet value. In the case of funce of more than 3 years. III. 10% of the balance shoet value. In the case of funce torn 1: 3 years. III. 10% of the balance shoet value. In the case of funce torn 1: 3 years. III. 10% of the balance shoet value. In the case of funce torn 1: 3 years. III. 10% of the balance shoet value. In the case of funce torn 1: 3 years. III. 10% of the balance shoet value. In the case of funce torn 1: 3 years. III. If the data is the source torn 1: 3 years. III. If the data is the case of the case of the source of the source of the case of the source of the case of the source of the case of the source of the source of the source of the case of the source of th			/ /	- /	
1.1286 of the balance sheet value in the case of tense of rows explored square. Intervention 11.1286 of the balance sheet value, in the case of tense of more system. Intervention 11.1186 of the balance sheet value, in the case of tense of more system. Intervention 11.1186 of the balance sheet value, in the case of tense of more within 3 square. Intervention 11.1186 of the balance sheet value, in the case of tense of more value by the Socurities Exchange for 3.120.083.588 680.886.789 2.428.826,766 11.1186 of the balance sheet value, in the case of tense of		iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.	107,012	0,020	30,000
II. 1256 of the balance sheet value, in the case of ferume from 7–3 yeas. II. 1256 of the balance sheet value, in the case of ferume from 5-3 yeas. IV Investment in Equity Securities Securities IV Investment in Equity Securities Securities for the channel sheet on the cutoff date as computed by the Securities Exchange for 3,120,693,556 Se0,866,789 Z,428,826,765 IV II. Cutoffield Securities IV Securities Securities IV IV Securities Securities Exchange for the cutoff date as computed by the Securities broke. Securities broke IV IV Securities in Securities of the securities broke. Securities broke Securities broke. IV IV Securities in Securities of the securities broke. Securities broke. Securities broke. IV IV Securities of the securities in Securities of Iv Securities broke. Securities broke. IV Securities of the securities as computed by the Securities inchange for these securities which as get securities with the securities inchange for these securities which as get securities inchange for these securities					
III 158 of the balance sheet value, in the case of tenue of more than 3 year. III 158 of the balance sheet value, in the case of tenue of more than 3 year. III week tenue in Figuly Securities on the cubit date as computed by the Securities technage for expected value of a value value of more than 3 year. 3,120,893,558 690,086,789 2,429,825,786 III liked 15% of VAR of each securities on the cubit of sale. Amount paid as subscription money periods of that haves have to be available at an on incided of a value of value value. 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 10 <					
15 Investment in Equity Securities					
I. If lived 1% or vak of each securities on the cutoff date as computed by the Securities Exchange for segrective securities withchever is higher. 3.120,833,558 690,866,766 2.428,826,766 III unitiatil 1006 of carrying value.	1.5				
I is grant to subscription money against investment in Poblitin for Sale: Amount paid as subscription money III if an initial in the investment in any asset including states of listed securities IN 10% instant 10% of careful to sale investment in any asset including states of listed securities III if an initial instant			3,120,693,558	690,866,789	2,429,826,769
iii.Subscription money against investment in POMPEr for Sale: Amount paid as subscription money		respective securities whichever is higher.		, ,	
povided that share have not been alloted or are not included in the investments of socutities broker.					
N120% Halcut shall be applied to Value of Investment In any asset Including shares of Risted securities that are in Block, Freez of Pedeg status as on reporting data. (July 19, 2017) Provided that 100% halcut shall not be applied in case of Investment In those socuties which are Pedegded in fravor of Stock Schanger (Cheang) anonyments. Investments that the be applicable (Augus 22, 2017) Provided that 100% halcut shall not be applied in case of Investment In securities which are provided that 100% halcut shall not be applied in case of Investment In securities which are whethedue III of the Bezulations in megacit of Investment In securities which are applicable (Augus 22, 2017) B1,558,105 1.1 Investment In associated companies. Understanding and the applicable (Augus 22, 2017) B1,558,105 B1,558,105 1.2 Investment In associated companies. Understanding applied to the applicable (Augus 22, 2017) B1,558,105 B1,558,105 1.3 Investment In associated companies. Understanding applied to the securities which are applicable (Augus 22, 2017) B1,558,105 B1,558,105 1.4 Investment In associated companies. Understanding applied to the securities which are applied and provide securities. Understanding applied to the applicable (Augus 22, 2017) B1,658,105 B1,658,105 1.8 Statutory or regulatory deposits and provide securities. Under Status 144,6707,840 146,707,840 1.0 Dedoposits and prophyments. 144,168,170 144,168,170 144,168,170 1.10					
Provided that 100% halcut shall not be applied in case of investment in those securities which are prededed in favor of Stock Schampe / Classing House against Margin Financing requirements to gledged in favor of Bank against Short Term financing arrangements. In such cases, the halcut as provided in the investment in absolutions in respect of investment in absolutions in respect of investment in absolutions. 81,558,105 16 Investment in absolutions in respect of investment in securities shall be applicable (August 3, 2017) 81,558,105 81,558,105 17 Investment in absolutions 16 81,558,105 81,558,105 18 Interview of stock against accomputed by the Securities stochange for respective securities 4,437,015 4,437,015 18 Statulary or equilatory depositibasic deposits with the exchanges, clearing house or central depository 4,437,015 4,437,015 19 Margin deposits with exchange and clearing house. 146,707,840 0 146,707,840 11.10 Deposit with authorized or mask-up on amounts placed with financial institutions or debt securities 144,108,170 144,108,170 12 Accound interest, port or mask-up on amounts placed with financial institutions or debt securities 97,623,792 0 300,804 13 Margin deposits with exchange securities and other related partiles 10 10		iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Image: Clearing House against Margin Financing requirements or pledged is checkule ill of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 81,558,105 81,558,105 1.1 Investment in associated companies/undertaking 81,568,105 81,558,105 81,558,105 1.2 Investment in associated companies/undertaking 44,37,015 44,37,015 44,37,015 1.8 Statutory or regulatory depositions computed by the Securities Exchange for respective securities whichever is higher. 144,670,840 146,707,840 146,707,840 146,707,840 146,707,840 146,707,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840 167,70,840		that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
In favor of Banks against Shott Term financing arrangements. In such cases, the haizcut as provided in the Required list of the Required to Investment in subciliates and the inscription is negative financial. It is that the applicable (August 25, 2017) 81,558,105 1.4 Investment In associated companies/undertaking 81,558,105 81,558,105 1.7 Investment In associated companies/undertaking 81,558,105 81,558,105 1.8 Intervestment in associated companies/undertaking 4437,015 4,437,015 1.8 Statutory or regulatory deposition basic deposits with the exchanges, clearing house or central depository 4,437,015 4,437,015 1.9 Margin deposity with authorized intermediary agains borrowed scurifies under \$18. 144,168,170 144,168,170 1.10 Deposit Interest, port or mak-up on amounts placed with financial institutions or debt securities 144,168,170 144,168,170 1.11 Other deposits and preparements 144,168,170 144,168,170 114 1.12 Accound interest, port financial institutions or debt securities 144,168,170 144,168,170 1.12 Accound interest, port financial institutions or debt securities 144,168,170 145 1.13 Divide interest, port financing, machins in terest i					
schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 16. Investment in associated companies/undertaking 17. Investment in associated companies/undertaking 18. If Statutory or regulatory deposits with the schanges, clearing house or central depository 18. Statutory or regulatory deposits with the exchanges, clearing house or central depository 19. Margin deposits with each ange and clearing house. 10. Margin deposits with each ange and clearing house. 11. Margin deposits with each ange and clearing house. 11. Margin deposits with each ange and clearing house. 11. Margin deposits with each ange and clearing house. 11. Accrued interest, port or make up on amounts placed with financial institutions or debt securities 11. Divide and submitisses. 11. Divide and submitisses and the second securities purchased under report angement 13. Dividend's second secure and second secure and the parties. 13. Dividend's second secure and the RPO agreement. (Securities purchased under report angement 13. In the second secure and set as ease. 13. Dividend's second secure and set as ease. 13. Dividend's second secure and the margin financing. 13. Dividend's second secure and the second securities against trading of securities in all 14. Receivables of the finant set of securities exchange(s) 14. Receivables of the finant set as ease secure and Due for repayment within 12 months 13. Dividend and submit second ange and securities in all 14. Receivables of an entitiements against trading of securities held in the 14. Receivables of an entitiement segainst trading of securities held in the finance (ii) 14. Receivables of an entitiement segainst trading of securities held in the 14. Receivable or an entitiement segainst trading of securities held in the 14. Receivable or an entitiement segainst trading of securities held in the 14. Receivable or an entitiement segainst trading of securities held in the 14. Receivable or an entitement segainst trading of securities held in the 14. Re					
1.7 Investment In associated companies/underfaking		schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
If listed 20% of VaR of each securities as computed by the Securites Exchange for respective securities Image: Computed Stripped			81,558,105	81,558,105	
Whichever is higher. Image: Constraint of the second	1.7				
II. If unlisted, 100% of net value. 4.437.015 18. Statutory oregulatory deposits/basic deposits with the exchanges, clearing house or central depository 4.437.015 19. Margin deposits with exchange and clearing house. 146.707.840 0 110. Obeposit with authorized intermediary against borrowed securities under SLB. 144.168.170 144.168.170 111. Other deposits and preparyments 144.168.170 144.168.170 112. Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Ni) 144.168.170 144.168.170 113. Dividends receivables. 97.623.782 0 97.623.792 Amounts receivables. 97.623.782 0 97.623.792 Amounts receivables. 97.623.792 0 300.804 0 300.804 115. IS hort ferm Loans To Employees: Loans are Secured and Due for repayment within 12 months 300.804 0 300.804 116. Receivables from Clearing house or securities exchange(S) 157.736.673 157.736.673 157.736.673 117. Receivables from customers 18.18.401.00 for fulltements against trading of securities held in the back receivables are against margin financing, the aggregate if (I) value of securities held in the back receivables are against margin financing. The aggregate if (I) value of securities held in the financee (III) mark					
or any other entity. 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 0 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840 146.707.840		ii. If unlisted, 100% of net value.			
19 Margin deposits with exchange and clearing house. 146,707,840 0 146,707,840 110 Deposit with authorized intermediary against borowed securities under SLB. 144,168,170 144,168,170 112 Accoued Interest, profit or mak-up on amounts placed with financial institutions or debt securities etc. (Nii) 144,168,170 144,168,170 112 Accoued Interest, profit or mak-up accued on loans to directors, subsidiaries and other related parties 146,707,840 144,168,170 113 Dividends receivables 144,168,170 144,168,170 144,168,170 113 Dividends receivables against Repo financing, Amount seckable against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 97,623,792 0 300,804 300,804 11.15 i. Short Fern Loan 10 Employees: Loans are Secured and Due for repayment within 12 months 300,804 0 300,804 11.16 Receivables ofther than trade receivables 157,736,673 157,736,673 157,736,673 11.17 Receivables form customers 1 167,736,673 157,736,673 200,876,571 11.18 Case receivables 161, accas develated after applying VAB based haircut, (ii) cash deposited as collateral	1.8		4,437,015	4,437,015	
1.10 Deposit with authorized intermediary against borowed securities under SLB.	19	or any other entity. Margin deposits with exchange and clearing house	146 707 840	0	146 707 840
1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Ni) 130 Dividends receivables 141 Amounts receivable against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 151 1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 300,804 0 300,804 300,804 0 300,804 300,804		Deposit with authorized intermediary against borrowed securities under SLB.			1 10,1 01,0 10
etc. (Nil) incspect of markup accrued on loans to directors, subsidiaries and other related parties			144,168,170	144,168,170	
100% in respect of markup accued on loans to directors, subsidiaries and other related parties Image: Control of the state state of the state of the state of the state of the state of th	1.12				
1.14 Amounts receivable against Repo financing. 97,623,792 0 97,623,792 1.15 1.5 horl Ferr Loan To Employees. Loans are Secured and Due for repayment within 12 months 300,804 0 300,804 1.15 1.5 horl Ferr Loan To Employees. Loans are Secured and Due for repayment within 12 months 300,804 0 300,804 1.16 Receivables form clearing house or securities exchange(s) 157,736,673 157,736,673 1 1.100% value of claims other than tade receivables 157,736,673 1 1 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 </td <td></td> <td></td> <td></td> <td></td> <td></td>					
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 9 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 300,804 0 300,804 1.16 i. Receivables other than trade receivables 157,736,673 157,736,673 157,736,673 1.10 Receivables from Clearing house or securities exchange(s) 1 1 100% value of claims other than toxe on account of entitlements against trading of securities in all markets including MtM gains. 1 1 1.10 Receivables from customers 1 1 100% value of any securities against trading of securities held in the blocked account after applying VAR based haircut. 200,876,571 200,876,571 200,876,571 1.10 Receivables are against margin financing. the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut. 200,876,571 200,876,571 200,876,571 1. In case receivables are against margin financing. S% of the net balance sheet value. 1 1 1 1 1 1 1 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,57					
shall not be included in the investments) Included in the investments) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 300,804 0 300,804 1.16 Receivables from Clearing house or securities exchange(s) 157,736,673 157,736,673 1.16 Receivables from Clearing house or securities exchange(s) Including MtM gains. Including MtM gains. 1.17 Receivables from clustomers Including MtM gains. Including MtM gains. 1.17 Receivables from clustomers Including MtM gains. Including MtM gains. 1.17 Receivables for case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut. Including MtM gains. Including MtM gains. 1.18 Receivables for against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut. Including MtM gains. Including MtM gains. 1.17 Receivables are against margin trading.5% of the net balance sheet value. Including MtM gains. Including MtM gains. Including MtM gains. 1.18 Including MtM gains.	1.14	Amounts receivable against Reportinancing.	97,623,792	0	97,623,792
1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 300,804 0 300,804 ii. Receivables other than trade receivables 157,736,673 157,736,673 157,736,673 1.16 Receivables from Clearing house or securities exchange(s) 1 157,736,673 157,736,673 1.10 Now value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 1 1 1.17 Receivables from customers 1 1 1 200,876,571 200,876,571 1.17 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. 200,876,571 200,876,571 1. Lower of net balance sheet value or value determined through adjustments. 1 1 1 1 1 1 1 1 1 1 1 1 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571 200,876,571					
1.16 Receivables from clearing house or securities exchange(s)	1.15		300,804	0	300,804
1.16 Receivables from clearing house or securities exchange(s)		ii. Receivables other than trade receivables	157.736.673	157.736.673	
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. iii. Receivables from customers ii. Receivables from customers iii. n case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral applying VAR based haircut. 200,876,571 ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut. iii. case receivables are against excuties borowings under step and ust ments. iii. Incase receivables are against excuties borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haicut iii. Net amount after deducting haicut 0 131,359,941 0 131,359,941 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 16,918,344 16,918,344 v. Incase of other trade receivables are outled of more than 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 16,918,344 16,918,344 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts aft	1.16	Receivables from clearing house or securities exchange(s)			
ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Image: Comparison of Compar		i. 100% value of claims other than those on account of entitlements against trading of securities in all			
1.17 Receivables from customers 200 i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. 236,955,280 200,876,571 200,876,571 i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut 0 131,359,941 0 131,359,941 iv. Incase of other trade receivables are overdue, or 5 days overdue, 0% of the net balance sheet value. 16,918,344 ************************************					
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.236,955,280200,876,571200,876,571ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut0131,359,9410131,359,941v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircut, iii cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.16,918,34416,918,344v. Incase of amount receivable form related parties.036,573,19001.18Cash and Bank balance-se i. Bank balance-proprietory accounts36,573,190036,573,190ii. Bank balance-customer accounts1,181,687,51801,181,687,5180	1 17				
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables not more than 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank balance-proprietory accounts ii. Bank balance-customer accounts 1.181(687,518) 0 1,181,687,518			236,955,280	200,876,571	200,876,571
i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 131,359,941 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 16,918,344 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 16,918,344 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 16,918,344 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 16,918,344 vi. 100% haircut in the case of amount receivable form related parties. 2 Cash and Bank balances 2 i. Bank Balance-proprietory accounts 36,573,190 0 ii. Bank balance-customer accounts 1,181,687,518 0		blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)	, ,	, ,	, ,
ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 131,359,941 0 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 16,918,344 ************************************					
ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut 0 131,359,941 0 iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 131,359,941 0 131,359,941 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral applying VAR based haircuts. 16,918,344 ************************************					
collateral upon entering into contract, iii. Net amount after deducting haricut iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 131,359,941 0 131,359,941 iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 16,918,344 ************************************		ii. Net amount after deducting haircut			
iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 131,359,941 0 131,359,941 iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 16,918,344 ************************************					
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 131,359,941 0 131,359,941 iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 16,918,344 ************************************					
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 16,918,344 ************************************			131,359,941	0	131,359,941
1.18 Cash and Bank balances 1.18 Cash and Bank balances 1.18 Isank balance-customer accounts			40.040.014	******	10.040.044
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 1,181,687,518 0 1,181,687,518			16,918,344		16,918,344
securities held as collateral after applying VaR based haircuts. Image: mail of the securities held as collateral after applying VaR based haircuts. 1.18 Cash and Bank balances Image: mail of the securities held as collateral after applying VaR based haircuts. 1.18 Cash and Bank balances Image: mail of the securities held as collateral after applying VaR based haircuts. 1.18 Cash and Bank balance proprietory accounts 36,573,190 0 I. Bank balance - customer accounts 1,181,687,518 0 1,181,687,518		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
1.18 Cash and Bank balances 36,573,190 36,573,190 i. Bank Balance-proprietory accounts 31,181,687,518 0 1,181,687,518					
i. Bank Balance-proprietory accounts 36,573,190 0 36,573,190 ii. Bank balance-customer accounts 1,181,687,518 0 1,181,687,518	1 1 2				
ii. Bank balance-customer accounts 1,181,687,518 0 1,181,687,518			36 573 100		36 573 100
				0	1,181,687,518
		iii. Cash in hand	287,889	0	287,889

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-DEC-20**

of M/s. Arif Habib Ltd.

Submission Date 13-JAN-2021 19:32:21

	Submission Date 13-JAN-2021 17.52.21		Page	2 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
5.1101		Pak Rupee	Adjustments	Value
1.19	Total Assets	7,266,212,221	*****	4,244,210,242
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products			
2.2	iii. Payable to customers	1,162,795,437	0	1,162,795,437
Z.Z		000.050	0	220.050
	i. Statutory and regulatory dues ii. Accruals and other payables	332,250 952,796,222	0	<u>332,250</u> 952,796,222
	iii. Short-term borrowings	470,356,865	0	470,356,865
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation	83,411,172	0	83,411,172
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from	0	0	
	a financial institution including amount due against finance lease b. Other long-term financing	458,321,095	0	458,321,095
	ii. Staff retirement benefits	100,021,000		100,021,000
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	n. Suborainated loans which do not rainin the conditions speened by seen			
2.5	Total Liabilites	3,128,013,041	0	3,128,013,041
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
3.3	borrowed			
5.5	Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
3.5	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-DEC-20**

of M/s. Arif Habib Ltd.

Submission Date 13-JAN-2021 19:32:21

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	123,249,215	123,249,215
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
3.10	extent not already met Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11 3.12	Total Ranking Liabilites Liquid Capital	0 4,138,199,180	123,249,215	123,249,215 992,947,986